NSHP Payment Claim Documents

Handouts:

1. NSHP-2 Payment Claim Form
2. NSHP-3 Ten Year Warranty and Cost Documentation Form
3. Utility Approval of Interconnection
4. Final Building Permit (Certificate of Occupancy)
NSHP-2
New Solar Homes Partnership Payment Claim Form

[CEC use only]

Payment Request = $___________
Payment Approval Date:___________
Staff Member Initials:___________
Project Name:__________________
Reservation ID
or Address:____________________
Site ID:_____________________

1. Confirmation of Reservation Amount

________________________________________ has been granted a reservation of $___________ for a _______ kW solar energy system. This reservation is for a __________ project and will expire on __________. The system is being installed at __________. The payment will be made to __________ (designated payee).

The solar energy system must be installed prior to submitting the NSHP-2. Failure to meet these requirements will cause the payment of NSHP incentives to be delayed or withheld. The NSHP-2 form must be postmarked by the expiration date and all required supporting documentation must be submitted no later than 3 months or 90 calendar days, whichever is later, after the expiration date or the reservation will expire and the incentive amount will be forfeited. This reservation is non-transferable. System must be installed at the installation address.

2. System Details

Sales Arrangement: ☐ Purchased ☐ Leased ☐ PPA
Occupancy Permit Date:______________ Solar Permit Application Date:______________ Solar Permit Issue Date:______________
(Please initial) I certify that, to the best of my knowledge, the above dates are correct:__________

3. PV Modifications

Have any of the equipment or installation specifications changed since the reservation was approved? __ Yes __ No
If yes, note the changes before claiming payment.

4. Energy Efficiency Requirements and Modifications

Please indicate which document is being used to satisfy payment claim application requirements:

☐ Certificate of Occupancy/Final Building Signoff OR ☐ CF 3R (HERS Registry Documents)

Have any of the measures used to meet the Building Energy Efficiency Standards or NSHP energy efficiency requirements changed since the reservation was approved? __ Yes __ No
If yes, note the changes before claiming payment.

5. Payment Assignment

Is payment assigned to another party?

☐ Yes (Please fill out all the sections below.)
☐ No (Please skip Section 6 and complete all others.)

Assignment Request

I, ____________________________, the applicant or authorized representative of the applicant as specified on the NSHP-1 form, hereby assign the right to receive payment for the above noted reservation under the NSHP to the following individual or entity and request that payment be forwarded to this individual or entity at the address below. A STD-204 must be submitted for the person/entity receiving the payment, if it is not already on record with the Energy Commission.

Name: ______________________________
Address: _____________________________
As the applicant or authorized representative of the applicant as specified on the NSHP-1 form, I understand that I remain responsible for complying with the requirements of the NSHP and will remain liable for any tax consequences associated with the reservation payment, despite the payment's assignment. I further understand that I may revoke this payment assignment at any time prior to the Energy Commission’s processing of the payment by providing written notice to the Energy Commission’s Renewable Energy Office.

Signature: __________________________
Name: __________________________
Date: __________________________
Title: __________________________

6. Declaration and Signature

The electrical generating system described above and in any attached documents meets the terms and conditions of the Energy Commission's NSHP, and has been installed as of the date stated below.

(2) The electrical generating system described above and in any attached documents is properly interconnected to the utility distribution grid and has been issued utility approval to operate the system as interconnected to the distribution grid, or will receive utility approval to operate the system as interconnected to the distribution grid no later than 3 months or 90 calendar days, whichever is later, after the reservation expiration date.

(3) The rated electrical output of the generating system, the physical location of the system, and the equipment identified were installed as stated above in Sections 1-4.

(4) Except as noted above, there were no changes in the information previously submitted for this system.

The undersigned party further acknowledges that he or she is aware of the requirements and conditions of receiving funding under the NSHP, including the special reporting and repayment requirements for leased systems and systems providing electricity under a power purchase agreement, and agree to comply with all such requirements and conditions as provided in the Energy Commission’s NSHP Guidebook, Tenth Edition, and the Building Energy Efficiency Standards (Title 24, Part 6) as a condition to receiving funding under the NSHP. If the system is leased or provides electricity through a power purchase agreement (PPA), an annual status report on the operation of the solar energy system must be submitted by the lessor or owner of the solar energy system. If the lease agreement or PPA is terminated and the system is removed from the building upon which it was originally installed within ten years of the system’s installation or the start date of the agreement, whichever is later, the lessor or system owner is responsible for the funding repayment. As specified in the NSHP Guidebook, the undersigned applicant authorizes the Energy Commission during the term of the NSHP to exchange information on this form with the electric utility servicing the system in order to verify compliance with the NSHP requirements.

Signature of Applicant/Authorized Representative

Name: __________________________ Title: __________________________
Signature: __________________________ Date: __________________________
1. System Information

This warranty applies to the following ______ kW solar electric generation system.

Equipment Description:
Located at: ________________________________
Installation Cost: __________________ Equipment Cost*: __________________
Balance of System Cost: __________________ Total Cost: __________________

2. Warranty Coverage

This ten-year warranty is subject to the terms below (check one of the boxes):

☐ All components of the generating system AND the system’s installation. Said warrantor shall bear the full cost of diagnosis, repair, labor, and replacement of any system or system component, at no cost to the customer. Said warrantor also assumes coverage of the major system components in all situations where the manufacturer warranty does not cover the entire ten-year period; or

☐ System’s installation only. Said warrantor shall bear the full cost of diagnosis, repair, labor, and replacement of any system or system component, exclusive of the manufacturer’s coverage, at no cost to the customer. Copies of manufacturer ten-year warranty certificates for the major system component (i.e. photovoltaic modules and inverter) MUST be provided with this form.

☐ Owner-builder or self-installed installation. Warranty is inclusive only of the manufacturer’s coverage. Copies of manufacturer ten-year warranty certificates for the major system components (i.e. photovoltaic modules and inverter) MUST be provided with this form. The owner-builder or self-installer assumes coverage of all other aspects of the ten-year warranty.

General Terms

This warranty extends to the original purchaser and to any subsequent purchasers or owners at the same location during the warranty period. For the purpose of this warranty, the terms “purchaser,” “subsequent owner,” and “purchase” include a lessee, assignee of a lease, and a lease transaction, or a host customer, assignee of a power purchase agreement, and a power purchase agreement. This warranty is effective from _________________ (date of completion of the system installation). A copy of this warranty is provided to the purchaser of the solar electric generating system.

Exclusions

This warranty does not apply to:
• Damage, malfunction, or degradation of electrical output caused by failure to properly operate or maintain the system in accordance with the printed instructions provided with the system.
• Damage, malfunction, or degradation of electrical output caused by any repair or replacement using a part or service not provided or authorized in writing by the warrantor.
• Damage malfunction, or degradation of electrical output resulting from purchaser or third party abuse, accident, alteration, improper use, negligence or vandalism, or from earthquake, fire, flood, or other acts of God.

3. Obtaining Warranty Service

Contact the following warrantor for service or instructions

Printed Name: ___________________________ Company: ___________________________
Address: __________________________________ Phone: (____) ____-_____
Signature of Warrantor’s Legally Authorized Representative ________________________
Date: ___________________
### Additional System Warranty and Final Cost Information (For Large Projects)

The warranty information on page 1 of this form applies to the following systems in the same project with similar equipment descriptions located at the site addresses listed below. This information can also be submitted via a separate attachment.

<table>
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<th>Warranty Effective Date:</th>
<th>Equipment Cost:</th>
<th>Install Cost:</th>
<th>B.O.S.:</th>
<th>Total Cost:</th>
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B.O.S. = Balance of system cost
September 14, 2015


RE: Permission to Operate Account No. [redacted] (Reference Number [redacted])

Dear [redacted]:

Thank you for your participation in Pacific Gas and Electric Company's (PG&E) Solar and Net Energy Metering (NEM) Program. Your new generating system at [redacted] was inspected and authorized for permission to operate on 09/14/2015 by generator log number [redacted]. You may now interconnect to PG&E's electric grid and experience the benefits of renewable power. The equipment covered by this "Permission to Operate" includes:

Total Inverter Nameplate Rating: 3.05 kW
Total CEC-AC Rating: 2.683 kW

Inverters:
[redacted]

PV Modules:
[redacted]

As a requirement of PG&E's Electric Rule 21* and your Interconnection Agreement, this letter authorizes and applies only to the system described above. This agreement does not confirm the safety, durability or reliability of your generating system. You are responsible for maintaining...
the safe operation of your system and for notifying PG&E if you make any changes to your system.

Look for more information about your new system in the mail soon:

Soon you will receive the official PG&E Solar and Renewable Energy Welcome Kit, which includes important information about some of the significant changes that come with the switch to solar and renewable energy. In particular, you will learn about a valuable new part of your billing called the "Net Energy Metering Statement", which details your monthly household net energy - the difference between how much you use and how much you generate. Unless you have chosen to opt-out of receiving SmartMeters™, you'll also learn about the benefits of SmartMeter™ technology, including how it allows you to see your net usage by logging on to www.pge.com/myenergy.

If you have additional questions regarding the interconnection of your system, your California Solar Initiative (CSI) incentive payment or billing, please contact our Solar Customer Service Center at 1-877-743-4112. We are available Monday through Friday from 8 a.m. to 5 p.m. to assist you.

Together, we are creating a green future where saving money and protecting the environment go hand-in-hand.

Sincerely,
Electric Generation Interconnection Department

*PG&E's authorization for you to operate your generating system is subject to all terms and conditions of Rule 21, your Interconnection Agreement and any other applicable rules, tariffs, laws and regulations.
PERMISSION TO OPERATE
Self-Generation Facility Interconnected to SCE's Electric Grid

1/26/2016

Dear Customer:

Congratulations on completing the installation of your self-generation facility. Your application for interconnection has been approved and Permission to Operate your system has been granted.

<table>
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<th>Project ID</th>
<th>Generating Facility Address</th>
<th>CEC-AC Nameplate Rating (kW)</th>
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If, at any time, SCE determines that this generating facility is not in compliance with the terms of the Net Energy Metering (NEM) Interconnection Agreement signed as part of your application to interconnect, this Permission to Operate may be revoked. Terms of the Agreement are available at [www.sce.com/nem](http://www.sce.com/nem). SCE may inspect your electrical service panel to ensure it meets SCE's electrical service requirements for the generation system you have selected. Electric service panels not meeting SCE's requirement will be required to be corrected in order for SCE to allow continued operation of your generating system in parallel with SCE's electrical system. For further details regarding service panel requirements, please review SCE's tariff Rule 16 at [http://www.sce.com/NR/sc3/tm2/pdf/Rule16.pdf](http://www.sce.com/NR/sc3/tm2/pdf/Rule16.pdf).

Service under your applicable NEM rate schedule becomes effective within 30 working days of the date SCE received your completed your completed application to interconnect your generating facility. Once that date has passed, and your system is turned on following receipt of this Permission to Operate, your electric bill will be modified to account for your generating facility's production.

Under the NEM rate option, residential and small business account served under Rate Schedule GS-1 are billed once a year for the "net" energy consumed or generated each month over the previous 12 months, if any. An annual settlement energy bill will come once every 12 months, and payment for your energy usage charges for the entire year will be due at that time. Large business NEM
accounts are billed monthly for energy usage. It is recommended that you monitor energy usage charges found in the last pages of your bill. All customers must also pay monthly **non-energy charges**, which include utility taxes and city/county fees. If you have paid more than the non-energy charges due, your bill will indicate "Do not pay. Your account has a credit balance."

If, over the course of a one-year billing period, you generate more excess electricity than you use, you may be eligible to receive compensation for net surplus electricity in accordance with Assembly Bill 920, signed into law on October 11, 2009. For more information, visit [http://www.sce.com/customergeneration/nem-ab920.htm](http://www.sce.com/customergeneration/nem-ab920.htm).

For questions related to billing or rebates, please contact SCE’s Customer Service Department at (866) 701-7868 for residential customers or (866) 701-7869 for commercial customers.

Sincerely,

Southern California Edison - Net Energy Metering Interconnection

This is a system generated email.
To: Customer of Record,

Subject: Authorization to Operate PV System in Parallel with SDG&E’s Electric System

This letter is formal notification that the 3.239kW Solar Electric Generating facility tied to meter located at, has met all of the requirements to interconnect and operate in parallel with SDG&E’s electric system.

Please note that once you receive this letter of authorization to operate your Solar Electric Generation system, it is the customer’s responsibility to coordinate with their contractor and/or property manager to ensure that their PV System is operating properly.

As a Solar Electric Generating customer, once your electric account is current, you will be on a billing program called Net Energy Metering.

This program allows you, the customer generator, to receive a credit for energy your system generates and puts back onto SDG&E’s power grid. Additional information on the Net Energy Metering program can be found on our website at https://www.sdge.com/NEM.

We appreciate the opportunity to be of service to you. If you have any questions, or need additional information, please call our office at (858) 636-5585.

SDG&E rates and rate design, including the rates and rate design reflected in this Tariff, are subject to change in conjunction with changes to the utility revenue requirement and changes to utility rate design.
Sincerely,
Net Energy Metering Team
## Single Family Residence Certificate of Occupancy

This residence is hereby certified for occupancy in accordance with California Building Code Section 111.1 and Rocklin Municipal Code Chapter 16. *(Reference building permit for additional code information incorporated herein.)*

**Building Inspector:** 
**Date:** 9/27/16